

**Kourilsky et al., UK Patent
Application No. GB 2 019 408 A**

- (21) Application No 7913031
(22) Date of filing 12 Apr 1979
(23) Claims filed 12 Apr 1979
(30) Priority data
(31) 7810975
(32) 13 Apr 1978
(33) France (FR)
(43) Application published
31 Oct 1979
(51) INT CL²
C07H 21/00 C07G 7/02
G01N 31/14
(52) Domestic classification
C3H B HX1
G1B BR
(56) Documents cited
None
(58) Field of search
C3H
(71) Applicants
Institut Pasteur, 25—28
rue du Docteur Roux,
75724 Paris, Cedex 15,
France
(72) Inventors
Philippe Kourilsky, Stratis
Avrameas, Brigitte Cami,
Jean-Luc Guesdon
(74) Agents
Mathys & Squire

(54) Method of detecting and characterizing a nucleic acid or a sequence of the latter, and enzymatic reactant for the application of this method

(57) A method for detecting the possible presence of a DNA fragment, notably of a gene, in the midst of a complex sample of nucleic acids comprises the hybridization of the sought fragment with a RNA probe,

this being, prior or subsequent to the hybridization reaction, modified by an enzyme, which may be applied to seeking of particular genes or DNA fragments in the midst of a biological sample.

An enzyme coupled, either directly or via a bridging agent, to ribonucleic acid (RNA) or deoxyribonucleic acid (DNA).

An enzyme bonded to a compound which product is capable of bonding with RNA or DNA.

The invention relates to a method for detecting the presence and, if necessary, characterizing a nucleic acid or a sequence of the latter in a specimen which can contain it. It relates also to the reactants necessary for the application of this method. Finally it relates also to the application of such a method, among other possible applications, to the rapid *in vitro* diagnosis of the presence in a biological specimen, derived notably from a human or animal host, of particular nucleic particles, for example infectious in nature, or again the integrity or not of this or that particular gene belonging to the normal genetic patrimony of the host.

It is not necessary to dwell on the extraordinary richness in various nucleic acids which any biological specimen can contain, for example blood, which it is possible to sample from any living creature. It is also the same regarding different sequences, for example, of numerous genes which any particular nucleic acid may contain in this specimen; whence the immense difficulties that the genetician may encounter at the level of the detection or characterization of certain nucleic acids in a specimen, difficulties which also arise as soon as there is a question of characterizing the presence of certain fragments, for example of genes, contained in these nucleic acids.

The characterization of a particular nucleic acid or of particular genes — for example for the study of the organization of genetic sequences the DNA which contains them — hence involves the production previously from the medium studied, of a fraction enriched in this nucleic acid. To this end, there have already been proposed enrichment techniques exploiting hybridization reactions between the nucleic acid or the gene sought and a probe, to the extent that the latter was available and when the hybrids formed could then be separated from the medium, for example by differential sedimentation in a solution subjected to ultra-centrifugation.

Such probes have already been described: they are generally constituted by ribonucleic acids (RNA, DNA), such as the RNA obtained in the course of the genetic transcription of the structural genes contained in the desoxynucleic acids (DNA) of the cellular organisms from which they originate, these RNA being then capable of being themselves "translated" into proteins capable of being coded by these structural genes. It is known that these RNA have sequences of nucleotides complementary to those of the DNA from which they are derived, this complementarity being manifested by the capacity possessed by these RNA to form mixed hybrids with corresponding sequences of these DNA previously denatured, inasmuch as the latter were initially bi-catenary; for example after incubation in a high ionic strength medium and at a high temperature or in a basic medium.

It has been suggested to have recourse, for marking the hybrids formed, to radioactive labeling either of the genes themselves, or of the RNA probes. These techniques are however difficult to put into practice and, in addition, do not always enable satisfactory localization of the genes concerned in their DNA.

It is with the object of permitting easier localization of the genes under study in the DNA containing them, and of promoting a method of obtaining fractions enriched in predetermined segments of DNA from these same DNA that Manning et al. proposed a physico-chemical detection technique for these genes, consisting of chemically modifying the RNA probe, by fixing biotin groups to the latter, through bridges formed by groups derived from cytochrome C and fixing physical marks visible with the scanning (electron) microscope, to the DNA, after hybridization with the probe, formed by submicroscopic spheres having diameters of about 60 nm, notably based on poly(methacrylate), previously modified chemically and coupled in covalent manner to avidin molecules (notably in the articles entitled "A New Method of *In situ* Hybridization", *Chromosoma (Berl.)* 53, 107—117 (1975), Springer-Verlag 1975 and "A Method for Gene Enrichment Based on the Avidin-Biotin Interaction. Application to the *Drosophila* Ribosomal RNA Genes", *Biochemistry*, Vol. 16, No. 7, 1364—1369, 1977).

In fact, the incubation of hybrids modified with biotin in the presence of submicroscopic spheres modified with avidin permits the "labeling" and makes locatable the positions of the desired genes in the DNA which contains them, with respect to the overall structure also visible in the electronic microscope of this DNA, due to the fact of the very powerful non-covalent interactions which are then produced between the sites remaining free of the biotin and of the avidin.

This method however is hardly applicable to the purposes of rapid detection of the presence or absence of such genes and of such DNA in a biological specimen derived from a human or animal host, for example with the object of establishing rapid diagnosis either of the disease with which the host may possibly be afflicted, or of the integrity or not of a gene or of a DNA sequence, for example, in this host.

The invention arises from the conversion of the Manning et coll. method, which conversion leads to techniques of detection, even of characterization, capable of being applied in the absence of expensive equipment, by persons having only little laboratory experience.

The method of detection according to the invention of the possible presence or of the characterization of a sequence or particular fragment of nucleic acid, notably of a gene, even of the whole nucleic acid in a complex sample of nucleic acids, by contacting the sample, if necessary after prior denaturation of the nucleic acid under study, with a probe comprising a complementary nucleic

acid, capable of being hybridized with the nucleic acid sequence or the nucleic acid sought, is characterized in that the reagent or probe used is a probe modified chemically by coupling or for its coupling with an enzyme prior or subsequent to the hybridization reaction, the possible presence of nucleic acid sequence or of the nucleic acid sought being revealable by the action of the thus-transformed hybridization product of the probe and of the sequence or of the nucleic acid sought, on an enzyme substrate. 5

Advantageously, the enzyme is selected according to its capacity to act on a chromogen substrate, which permits the measurement by optical or similar analysis, of the conversion ratio of the substrate, which ratio is then correlatable with the presence or not of the nucleic acid sequence or of the nucleic acid sought in the initial sample. 10

In a preferred embodiment of the application of the invention, the probe is modified by a chemical group capable of forming a stable complex with the enzyme or a molecule itself bound stably to the enzyme. Advantageously, the above-said chemical group and the above-said molecule are respectively constituted by biotin and avidin or vice versa, the enzyme being itself advantageously constituted by β -galactosidase. 15

It is self-evident that the chemical modification must be such that it does not prevent the possible subsequent hybridization of the probe with the DNA sequence or fragment sought.

It will immediately appear to the specialist that this technique permits a rapid determination of the presence or not in a biological sample of the gene or DNA fragment corresponding to the probe used, and this even in the presence of a considerable amount of other nucleic acids. This is particularly so due to the fact of the amplification at the level of detection which is obtained by the action of the enzyme fixed to the hybrid on the substrate brought into its presence. It is even possible, after sufficient purification of the hybrid, to obtain an indication as to the concentration in the DNA sought in the biological samples studied or as to the distribution ratio of the gene sought in a purified DNA, by measurement of the enzymatic activity observed. 20 25

Starting from a nucleic acid sample to be studied, it is possible to first carry out the hybridization, then the coupling reaction between the chemically modified and hybridized probe, on the one hand, of the enzyme, on the other hand, to then proceed with the separation or the degradation of the possible excess of non-hybridized probe and of the excess enzyme which has not reacted with the probe, before carrying out the above mentioned measurement. 30

As an alternative, the separation or degradation of the possible excess of non-hybridized probe may be carried out before the coupling reaction between the chemically modified and hybridized probe, on the one hand, and the enzyme, on the other hand.

The specific probe can be constituted by any specific RNA or DNA either single strand (monocatenary), or denaturated previously by techniques known per se, if it relates to a DNA (or an RNA) initially double strand. 35

When the chemical modification of the probe is carried out by means of biotin, it is possible to resort to the technique described by Manning et coll. in the already mentioned publications, through cytochrome C, notably in the proportion of one molecule of biotin on the average for about 100 nucleotides. 40

Advantageously, recourse is then had for labeling the hybrid by the enzyme, to the product resulting from the coupling of avidin and the enzyme, notably β -galactosidase, by the Avrameas method ("Immunochimistry", 1969, 6, 43—52).

It goes without saying that it is possible to resort to other chemical modifications of the probe and, if necessary, of the enzyme, to effect their coupling, preferably after the hybridization reaction, and that it is possible to reverse the modifying agents of the probe and of the enzyme respectively. 45

Other pairs of modifying agents of the probe on the one hand, and of the enzyme, on the other hand, may also be used. By way of example, the following pairs are mentioned, the first of these agents being preferably used for the chemical modification of the probe and the second for the chemical modification of the enzyme. For example, the probe may be modified, by a known method, by metallic ions (mercury for example) and the development is done by means of an enzyme having hydrosulphide groups (—SH), or coupled to a support including such groups. 50

By way of example, which is of course non-limiting, of an experimental procedure which may be applied in the case where the sample to be analyzed is constituted by a blood specimen of some milliliters, it is possible to operate as follows: 55

The blood cells are first lysed and the DNA is extracted therefrom by a conventional technique.

A small amount of the DNA obtained, for example comprised between 1 and 100 μ g, is denatured by 0.1 to 0.3 N soda, the solution then being neutralized and brought back to pH 7.

To the solution obtained, the probe corresponding to the DNA fragment or to the DNA sought is then added in the proportion of about 1 μ g of probe per 100 μ g of denatured DNA (the amount of soda to be used is a function of the proportion of DNA sought in the specimen to be analyzed). The solution is then completed with salts for conferring on the medium a high ionic force, at least 0.3 M NaCl, in the presence of 50% formamide and a chelating agent at low concentration, preferably in small volume. The hybridization can then be carried out at ordinary temperature for 1 to 40 hours (generally overnight). It is also possible to use the technique already described by Manning. As an alternative, any other 60 65

hybridization technique can also be resorted to, for example that described by KOHNE et al in "Biochemistry" (1971) 16, 5329—5341, at ordinary temperature in a phenol emulsion.

Avidin coupled to an enzyme such as β -galactosidase is then added to the medium under conditions permitting the coupling of the biotin of the probe with the free groups of the avidin of the coupling compound of the avidin and the enzyme.

The non-hybridized reagent is then separated from the hybridized reagent by conventional techniques, such as precipitation with polyethylene glycol, passage over gel, for example that of the type named SEPHAROSE, ultracentrifugation, etc.

As an alternative it is also possible to carry out the separation of the non-hybridized probe before the coupling of the avidin bearing the enzyme with the biotin groups coupled to the hybridized probe with the DNA.

The enzyme possibly fixed and consequently the possible effective hybridization of the probe with the DNA studied may be visualized or detected by placing in contact with the medium a substrate of the enzyme, notably that constituted by orthonitrophenol galactoside (ONPG).

It is self-evident that the experimental conditions once well-fixed, it is possible to determine a measurable activity threshold, for example, by a colorimetric or fluorographic technique, beyond which it is possible to conclude in the presence in the treated sample of DNA or of the DNA fragments sought.

The following description of a test carried out in the laboratory has simply the purpose of illustrating the manner in which the process according to the invention may be put into practice, it being obviously understood that the modifications at the level of techniques, according to the nature of the biological specimen studied and of that of the DNA or of the DNA fragment sought, are within the evident scope of the technician skilled in the art.

Experiments were carried out on the model consisting of detecting the presence of a mouse DNA by hybridization of this DNA with a mouse ribosomal RNA used as a probe.

Mouse DNA (100 μ g per 100 μ l of aqueous solution) is denatured by addition of soda (10 μ l of 1 M NaOH). 10 minutes later, the solution was brought back to pH neutral by the addition of 10 μ l of 1.5 M acid sodium phosphate NaH_2PO_4 .

1 μ g of ribosomal RNA labeled with biotin by means of cytochrome C, prepared by the technique of Manning & Coll., is added to the denatured DNA solution. The volume was adjusted to 160 μ l with water. 40 μ l of a solution having a concentration of mineral salts equal to twenty times that of the solution called SSC (abbreviation of the English expression "standard saline citrate") and 200 μ l of redistilled or deionized formamide was then added to the medium. It is recalled that the SSC solution is an aqueous solution of 0.15 M sodium chloride, 0.015 M sodium citrate, at pH 7.0.

The mixture was incubated until the next day at ordinary temperature, then dialyzed at 4°C against a solution having a double concentration of the SSC solution, then for 8 hours against 500 ml of a phosphate buffer at pH 7.0 containing phosphate at a concentration of 0.1 M, sodium chloride at a concentration of 1 M and ethylene-diamine-tetrasodium acetate (EDTA) at a concentration of 0.01 M. The latter dialysis is then repeated twice, each time for 8 hours.

The solution thus-obtained was treated with pancreatic ribonuclease for 1 hour at ordinary temperature, to obtain a final concentration of 10 μ g per ml of ribonuclease, this treatment permitting the degradation of the non-hybridized RNA.

To the medium obtained was then added a solution of cytochrome C (1 mg per ml) and 1 microliter of a solution containing 1 mg per ml of avidin and 2 mg per ml of β -galactosidase, of which 1 molecule of β -galactosidase in seven is coupled with avidin. It is mixed and the solution is then left to stand at 4°C for 4 hours. The medium was then diluted to 10 ml with the phosphate dialysis buffer and the solution obtained is subjected to ultracentrifugation for 1 hour at 35,000 rpm (in a BECKMAN ROTOR SW 41 centrifuge). The DNA and the hybridized RNA are to be found in the centrifugation culot, as well as the avidin β -galactosidase bound to this RNA. The supernatant liquor contains the non-hybridized RNA degraded by the ribonuclease and the unbound avidin β -galactosidase.

The culot is collected and resuspended in 10 ml of buffer. It is recentrifuged and the culot is taken up again in 0.5 ml of buffer (tube No. 1) and the activity of the β -galactosidase on the ONPG substrate is assayed by the technique described by Miller ("Experiments in bacterial genetics, 1972, Cold Spring Harbor Laboratory", Cold Spring Harbor, New York, USA), by measurement of the optical density of the medium at 420 m μ , after incubation of the medium at 37°C for 30 minutes or more.

Controls are prepared under conditions strictly identical with those which have been described above, except that in a first case the initial addition of ribosomal RNA (tube No. 2) was omitted and in the other case the addition of mouse DNA (tube No. 3) was omitted.

The results of the three assays carried out are shown in the table below:

Tube No.	Contents		Result of assay (optical density at 420 m μ after 30 minutes at 37°C)
	DNA	RNA	
1	+	+	0.45
2	+	-	0.14
3	-	+	0.15

The signs + and -, respectively in the columns under the headings DNA and RNA, signify the presence or absence either of DNA, or of RNA, in the initial medium.

As can be observed on examining this table, the optical density measured in tube No. 1 (containing the hybrid) is very significantly greater than the optical densities measured in the control tubes.

The experimental model which has just been described therefore illustrates the conditions under which the possible presence of a desired DNA or RNA fragment may be detected, to the extent that a probe complementary to this DNA or to this RNA fragment is available by resorting to a simple technique requiring neither very complicated laboratory equipment nor a particularly experienced technician.

The invention is applicable particularly advantageously to *in vitro* diagnosis operations of the presence, for example in a biological sample (blood sample, specimen of stools, etc.) of various viruses, such as those named Herpes, Epstein Barr, virus Pox, cytomegalo, etc. In the same way, the invention may be applied to the diagnosis, for example, of specific chromosomal anomalies.

It is also applicable to the realization of bacterial diagnoses, in particular in the case where individuals are bearers of pathogenic genes, both expressed and non-expressed (or latent).

It will appear naturally to the specialist, in the case of investigating an infectious DNA, that it is possible to conclude rapidly as to the healthy character of the treated biological specimen, and having regard to the nucleic acid or the fragment of nucleic acid sought, in the absence of induction observe on the chromogenic substrate, or at least an over-shoot of the activity threshold, either predetermined experimentally, or by comparison with controls free of the virus.

Conversely, the absence of action observed with respect to the chromogenic substrate, notably beyond the above-mentioned threshold, can, in the other type of application, envisaged above by way of example, translate the presence of an anomaly of the chromosomal anomaly sought, in the absence of observed total or partial hybridization, between the probe and the DNA studied.

It is advantageously possible to place, for example, at the disposal of medical analysis laboratories, "kits" containing all of the essential reactants for the application of the process according to the invention. These kits can, in particular, contain a sampling of probes corresponding, for example, to the DNA of the virus or bacteria, of conventionally sought pathogenic viruses or bacteria, or even of probes relating to particular genes which should normally be contained in biological specimens, notably blood specimens, under test.

In this regard, the invention relates hence to a "kit" characterized in that it comprises:

— at least one specific probe formed from RNA or a single RNA strand, characteristic of a nucleic acid sequence or of a nucleic acid to be sought, this probe being modified chemically for its coupling with an enzyme,

— said enzyme, if necessary, modified so as to be able to be coupled with said probe,

— a substrate, notably a chromogene, specific to the enzyme,

— the reactants necessary for the lysis of the cellular medium to be studied, notably a blood medium, and for the extraction of nucleic acids from the cells of this medium.

As has already been observed in the foregoing, it is advantageous to constitute the modified probe by a probe to which biotin is bound, the modified enzyme being then constituted by the enzyme itself, for example β -galactosidase, coupled to avidin.

The invention relates also moreover, by way of novel industrial product, to the coupling product of an enzyme (of which the action may be revealed with respect to a substrate, notably chromogenic) and of a probe (RNA or single strand DNA), either directly, or through a coupling agent. It relates also again to the coupling product of the enzyme and of at least one chemical molecule, the whole then being capable of being coupled in its turn with a probe (RNA or DNA), if necessary modified, itself capable of being hybridized with a DNA or a DNA fragment. By way of examples of such novel industrial products, may be mentioned the coupling products of a probe (RNA or DNA) with an enzyme, such as β -galactosidase, or again coupling products of avidin or of biotin with such an enzyme.

Of course, the invention may be applied in other fields of application, notably for the labeling of certain DNA fragments in well-known genetic experiments seeking to establish the genotype of the DNA concerned. In particular, the invention may be applied to the determination of the incorporation or

not of a particular DNA fragment in experiments of genetic engineering comprising for example operations of transforming DNA from an infected cell with a foreign DNA containing the DNA fragment concerned or on the contrary operations of transduction including the incorporation of a DNA fragment concerned, normally contained in the DNA of the cell, in the DNA of the virus used for the infection of the cell, etc., to the extent that, of course, a probe constituted by the RNA fragment or DNA complementary to the sought nucleic acid fragment is available.

As is self-evident and as emerges already besides from the foregoing, the invention is in no way limited to those of its modes of application and embodiments which have been more especially envisaged; it encompasses on the contrary all modifications, notably those where recourse is had to modifications of the probe which may enable the enzymatic assay of the hybrid and modifications relating to the formation and/or purification of the hybrids, to the labeling or the chemical modification of the DNA studied itself, under conditions which have been described above, the RNA probe not being the subject of any particular labeling; such an inversion of the reactants may be envisaged, for example in the case of a DNA including numerous examples of repetitive genes, that it is desired to isolate from the whole DNA, in the form of a hybrid with a probe, after fragmentation of the DNA concerned by conventional techniques. It is self-evident that these equivalents are included within the field of protection defined by the claims.

By way of yet another modification, it is possible to have recourse to a process consisting of marking the hybrid formed by the desired DNA and the probe, by means of an anti-hybrid antibody, coupled to an enzyme such as β -galactosidase.

CLAIMS

1. Method of detecting the possible presence or of characterizing a sequence or particular fragment of nucleic acid, notably of a gene, even of the whole nucleic acid in the midst of a complex sample of nucleic acids, by contacting the sample, if necessary, after prior denaturation of the nucleic acid under study, with a probe comprising a complementary nucleic acid, capable of being hybridized with the nucleic acid sequence or the nucleic acid sought, characterized in that the probe used is a probe modified chemically by coupling with or for coupling with an enzyme, prior or subsequent to the hybridization reaction, the possible presence of the nucleic acid sequence or of the nucleic acid sought being revealable by the action of the hybridization thus-converted product of the probe and of the sequence of or of the nucleic acid sought on an enzyme substrate.
2. Process according to Claim 1, characterized in that the enzyme is selected according to its capacity to act on a chromogene substrate, and in that there is assayed, by optical analysis or the like, the transformation ratio of the substrate, which ratio is then correlatable with the presence or not of the nucleic acid sequence or of the nucleic acid sought in the initial specimen.
3. Process according to Claim 1 or Claim 2, characterized in that the probe is modified by a chemical group capable of forming a stable complex with the enzyme or a molecule itself bound stably with the enzyme.
4. Process according to Claim 3, characterized in that the above said chemical group and the abovesaid molecule are respectively constituted by biotin and avidin or vice versa.
5. Process according to Claim 4, characterized in that the enzyme is constituted by β -galactosidase.
6. Process according to any one of Claims 2 to 5, characterized in that the hybridization is first carried out, then the coupling reaction between the chemically modified and hybridized probe, on the one hand, and the enzyme, on the other hand, and in that there then follows the separation or the degradation of the possible excess of non-hybridized probe.
7. Process according to any one of Claims 2 to 5, characterized in that the hybridization is first carried out and in that the coupling reaction is then carried out between the chemically modified and hybridized probe, on the one hand, and the enzyme on the other hand, after separation or degradation of the possible excess of non-hybridized probe.
8. A "kit", characterized in that it comprises:
 - at least one specific probe formed from RNA or a single DNA strand, characteristic of a desired nucleic acid sequence or nucleic acid, this probe being modified chemically for its coupling with an enzyme,
 - said enzyme, possibly modified so as to be able to be coupled with said probe,
 - a substrate, notably a chromogen, specific to the enzyme,
 - the reactants necessary for the lysis of the cellular medium to be studied, notably a blood medium, and for the extraction of the nucleic acids from the cells of this medium.
9. Kit according to Claim 8, characterized in that it comprises:
 - at least one RNA probe to which biotin is bound,
 - the coupling product of avidin and an enzyme, such as β -galactosidase.
10. The coupling product of an enzyme, notably developable by its action with respect to a chromogen substrate, and a probe (RNA or DNA), either directly, or through a coupling agent.
11. Coupling product of an enzyme, notably whose action may be developed with respect to a chromogenic substrate, and at least one chemical molecule, the whole then being couplable with an

RNA or DNA probe, if necessary, modified for this purpose.

12. Coupling product according to Claim 11 or an enzyme, such as β -galactosidase, and a substance such as avidin or biotin.

Printed for Her Majesty's Stationery Office by the Courier Press, Leamington Spa, 1979. Published by the Patent Office,
25 Southampton Buildings, London, WC2A 1AY, from which copies may be obtained.

RECEIVED TIME 28.JAN. 0:17

TOTAL P.10

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☒ **BLACK BORDERS**

☒ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**

☐ **FADED TEXT OR DRAWING**

☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**

☒ **SKEWED/SLANTED IMAGES**

☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**

☒ **GRAY SCALE DOCUMENTS**

☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**

☒ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**

☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.